



Date: May 28, 2024

To: Hospitals, Physicians, and Practitioner Health Care Providers

From: Elizabeth Parish
Director, Payment Policy & Financial Management Group
Center for Consumer Information & Insurance Oversight
Centers for Medicare & Medicaid Services (CMS)
Department of Health and Human Services (HHS)

Re: Medical Record Requests for the HHS-operated Risk Adjustment Data
Validation (HHS-RADV) Audit

SPECIAL NOTE: In accordance with CMS policies, DO NOT FORWARD ANY MEDICAL RECORDS TO CMS OR ITS CONTRACTORS. Medical records received by CMS will be destroyed. Please follow the instructions provided by the requestor.

The current HHS-RADV audit pertains to services provided during the 2023 calendar year.¹ The requesting entity has determined that one or more of your patients are included in the HHS-RADV audit sample for services rendered during 2023. Because 2023 HHS-RADV medical record review is time sensitive, **your immediate attention to this request is appreciated.**

Please find attached a medical record request from a health insurance company or its delegated entity. It is important to respond to this request by the date in the medical record request letter. These requests are applicable to all providers, whether or not the provider has a contractual agreement with the health insurance company.

Thank you in advance for your prompt cooperation.

¹ Section 1343 of the Patient Protection and Affordable Care Act (ACA) (Pub. L. 111-148) established a permanent risk adjustment program. Consistent with Section 1321(c) (1) of the ACA, the Secretary is responsible for operating the program on behalf of any State that elected not to do so. For the 2023 benefit year, HHS operated the risk adjustment program in all 50 States and the District of Columbia. On behalf of the Secretary of HHS, CMS is responsible for annually validating the accuracy of risk adjustment data submitted by health insurance companies with risk adjustment covered plans in the individual and small group health insurance markets, also known as HHS-RADV.